Institute of Internal Auditors Philippines

Unit 702 Corporate Center, 139 Valero St., Makati City Telephone Nos.: 940.9554 Fax No.: 325.0414 | www.iia-p.org * certification@iia-p.org

CIA EXAMINATION APPLICATION FORM [FORM NO.11APCIA-052013]

Please provide/check appropriate information required.

| 1. | NAME | | | | |
|-------|---|--------------------|--------------------|-------------------|--|
| | Last Name | First Name | | Middle Name | |
| | | | ☐ Male | ☐ Female | |
| | Prefix (Mr., Mrs., Ms.) Suffix | (Jr., Sr., III, ot | | der | |
| | D: 11 1 (111/DD 0000) | (| ☐ New Appl | ication | |
| | Birthday (MM/DD/YYYY) | | □ Re-Applic | | |
| | | | |)# | |
| | Name you want to appear in your C | ertificate | | Date | |
| | | ` | | | |
| 2. | COMPANY: | | | | |
| | Position Title: | | | | |
| | Job Code: | Industry C | Code: | | |
| | (For job and industry code, please refer at th | ne back of this po | ige) | | |
| | Commandly Description Internal Audit? | □ Ves □ | No | | |
| | Currently Practicing Internal Audit? | □ Yes □ | INO | | |
| 3. | GENERAL INFORMATION | | | | |
| | Email Address: | | | | |
| | | | | | |
| | Mailing Address: | | | | |
| | | | | | |
| | City: | Country: | <u>PHL</u> Posta | l Code: | |
| | Phone Country Code: 63 Phone: | | Exten | sion: | |
| | Alternative Phone: Alternative Extension: | | | | |
| | | | | | |
| 4. | CERTIFICATION(S) ATTAINED (indicate the | e passing date |) | | |
| | □ CFSA □ CCSA □ CGAP | _ 🗆 CRMA | OTHERS | | |
| 5. | Did you take any review classes? ☐ Yes ☐ No | | | | |
| | If yes, pls. state part, provider & when? | | | | |
| | ii yes, pis. state part, provider a when: | | | | |
| 6. | Highest Educational Attainment: ☐ MBA | -Graduate □ | College Gradua | ate 🗆 Student | |
| All | Fees are Non-Refundable | IIA Member | Non-Member | *Student/Educator | |
| | lication Fee | □ USD100 | USD200 | USD50 | |
| Exa | m Registration Fee for Part 1 | □ USD250 | □ USD350 | □USD200 | |
| | m Registration Fee for Part 2 | □ USD200 | □ USD300 | □USD150 | |
| Exa | m Registration Fee for Part 3 | □ USD200 | □ USD300 | □USD150 | |
| ***E: | xam Fees are subject to change without prior n | otice | | | |
| | te: All information fields indicated al ormation can be given, kindly indicate N | | uired. If no re | levant | |
| Nan | ne & Signature of applicant: | Date / | Application was su | ıbmitted: | |
| | By signing and submitting this form, I coprovisions of the <u>Code of Ethics</u> and acce | ertify that I he | ave read and wi | ll abide by the | |
| | Candida | ate Handbook | | | |

- 2 x 2 ID Picture taken within 3 months with FULL nametag, colored, in white background and printed in quality photo
- show subject applicant in standard close-up shot (from shoulder level up)
- The name tag should not be computer-generated, meaning, the applicant should have his/her picture taken while holding his/her name tag.

| FOR IIAP USE ONLY | | | | |
|---|--|--|--|--|
| Resource ID: | | | | |
| Affiliate: IIA Philippines | | | | |
| Program Owner ID: | | | | |
| Exam Validity: | | | | |
| CDR | | | | |
| Authorization Letter | | | | |
| Exam Result | | | | |
| ☐ ALL CLEAR FORM | | | | |
| IIA MEMBERSHIP STATUS | | | | |
| □ Member | | | | |
| Membership ID # | | | | |
| □ Non-member | | | | |
| PAYMENT DETAILS | | | | |
| O.R. No.: Date: | | | | |
| Total Amount Paid: | | | | |
| Invoice No.: | | | | |
| | | | | |
| Print name and signature of collecting officer | | | | |
| REQUIREMENTS FOR CIA | | | | |
| □ Completely Filled-up Application Form | | | | |
| □ 2pcs. 2x2 ID picture w/ name tag | | | | |
| Certified True Copy of NSO-issued Marriage Contract (for married | | | | |
| female) Original and photocopy of any | | | | |
| government-issued I.D. card with | | | | |
| name, picture and signature of the applicant | | | | |
| ☐ Certified True Copy of Transcript of Record | | | | |
| □ Character Reference | | | | |
| Must obtain a minimum of 24 months of internal auditing experience or its | | | | |
| equivalent. | | | | |
| For students - accomplished Full- time Student Status Form | | | | |
| For Educator - confirmation in school letterhead as full-time | | | | |
| educator — For re-applicants submit documents | | | | |
| from Items No. 1 to 4 including | | | | |
| photocopy of previous exam result. | | | | |
| Note: Applications with incomplete requirements, except for work experience, will not be processed. | | | | |
| | | | | |
| Checked by: (Certifications Specialist/Date) | | | | |
| (certifications specialist/ bate) | | | | |

| Applicant's Printed Name |
|--|
| Applicant's Printed Name |
| Applicant's Printed Name |
| |
| |
| |
| Applicant's Signature - Date |
| Applicant s signature Bute |
| |
| |
| Date: O.R. No. |
| Bate O.R. No |
| |
| Amount |
| |
| |
| Print name and signature of collecting officer |
| J J J J J J J J J J J J J J J J |

PRESENT THIS STUB IN CLAIMING YOUR AUTHORIZATION LETTER

Acknowledgement Receipt of the following:

- Wledgement Receipt of the following:

 Completely Filled-up Application Form

 2pcs. 2x2 ID picture w/ name tag

 Certified True Copy of NSO-issued Marriage Contract (for married female)

 Original and photocopy of any valid I.D. card with name, picture and signature of the applicant

 Certified True Copy of Transcript of Record

 Character Reference

 Must obtain a minimum of 24 months of internal auditing experience or its equivalent.

 Original Bank-validated Transaction Slip (if payment made via direct deposit or fund transfer)

 For students accomplished Full-time Student Status Form

 For Educator confirmation in school letterhead as full-time educator

 For re-applicants submit documents from Items No. 1 to 4

- For re-applicants submit documents from Items No. 1 to 4 including photocopy of previous exam result.
- Received by: Date:
- 2 x 2 ID Picture taken within 3 months with FULL nametag, colored, in white background and printed in quality photo paper.
- the Must show subject applicant in standard close-up shot (from shoulder level up)
- The name tag should not be computer-generated, meaning, the applicant should have his/her picture taken while holding his/her name tag.

IIA Job codes

- 200 Chief Audit Executive I am the most senior audit officer for the organization with ultimate responsibility for the entire internal audit function.
- 210 Director of Auditing I am the chief auditor authorized to direct a broad, comprehensive program of internal auditing within my organization.
- 220 Audit Manager I administer the internal audit activity of an assigned location within the general guidelines provided by the director of auditing.
- 230 Audit Staff I conduct, or assist in conducting, reviews of assigned organizational and functional activities.
- 245 IT Audit Director I am head of the IT audit activity within my organization.
- 250 IT Audit Manager I administer the IT audit activity of an assigned location within the general guidelines provided by the director of auditing.
- 260 IT Audit Staff I conduct, or assist in conducting, reviews of assigned organizational and functional activities related to IT auditing.
- 275 Audit Services Contractor I offer internal audit services on a contracted basis.
- 280 External Public Accountant I am a practicing public accountant, chartered accountant, etc.
- 300 Corporate Management I am a corporate officer, CFO, CIO, CEO (and do not qualify under another job code above).
- 310 Educator I am principally employed as an educator at a college or university (PhD., DBA, EdD., etc.).
- 320 Student I am pursuing a degreed program at a college or university (including doctoral candidates) on a full-time basis.
- 330 Retired I am retired from active employment (otherwise refer to another job code).
- 340 Audit Committee Member I am an audit committee member of a corporate board of directors (and do not qualify under another job code above).
- 350 Management Consultant I am primarily an independent consultant with an interest in internal auditing (otherwise refer to another job code).
- 360 Other Specify title or job description.

IIA Industry Code

Agriculture, Forestry, & Fisheries

0100 Agriculture, forestry, & fisheries

Mining

- 1000 Mining
- 1300 Oil/gas extraction

Contract Construction

• 1500 Construction

Manufacturing

- 2000 Food/kindred products
- 2100 Tobacco manufacturers
- 2200 Textile mill products/apparel
- 2400 Lumber/wood products (incl. furniture/fixtures)
- 2600 Paper & allied products (incl. printing/publishing)
- 2800 Chemicals
- 2830 Drugs and research
- 2840 Petroleum refining and related industries
- 3010 Rubber and plastics products
- 3100 Leather, stone and glass products
- 3300 Primary metal industries
- 3400 Fabricated metal products (including non-electric machinery)

- 3500 Industrial and commercial machinery
- 3510 Aerospace
- 3520 Computers and related devices/equipment
- 3700 Transportation equipment
- 3600 Electrical machinery, electronic equipment and supplies
- 3800 Scientific, photographic, medical records
- 3900 Miscellaneous manufacturing industries

Transportation, Communications & Utility Services

- 4000 Land transportation
- 4400 Water transportation
- 4500 Air transportation
- 4700 Other transportation services
- 4800 Communication services
- 4810 Telecommunications
- 4900 Electric/gas/sanitary services
- 4910 Gas services
- 4920 Gas and electric services
- 4930 Sanitary services

Wholesale & Retail Trade

- 5000 Wholesale trade
- 5300 Retail trade
- 5800 Eating and drinking places

Financial, Insurance, & Real Estate

- 6000 Banking and financial institutions
- 6030 Nonbanking bank services (i.e. leasing)
- 6040 Thrift and savings & loan organizations
- 6100 Credit unions
- 6130 Other credit agencies
- 6200 Security and commodity services
- 6300 Insurance carriers, agents and services
- 6500 Real estate services
- 6700 Holding/investment companies

Services

- 7000 Hotels/lodging services
- 7200 Personal/social services
- 7300 Contracted audit services
- 7310 Management consultants
- 7320 Information technology services

- 7330 Executive placement services
- 7500 Repair services
- 7600 Gaming/lottery
- 7800 Motion pictures/amusement & recreational services
- 8000 Health services 8100 Legal services
- 8200 Educational services
- 8600 Membership organizations
- 8900 Public accounting/accounting/bookkeeping services
- 8910 Miscellaneous services

Government

- 9100 Federal/national government
- 9200 State/provincial government
- 9300 Local government
- 9400 International government

Nonclassifiable

• 9900 Nonclassifiable establishments

CIA/CCSA/CFSA/CGAP EXAMINATION PROCEDURES

Step 1: Submit Application form, Requirements and Payment. Then will wait for approval and authorization letter.

Step 2: Upon receipt of authorization letter, schedule the exam online at www.pearsonvue.com/IIA and Pearson Vue will forward a confirmation of your scheduled exam in your email address.

<u>Step 3:</u> On your exam date, bring the following items: (a) authorization letter from IIAP, (b) confirmation letter from Pearson Vue, (c) Current Valid Identification Card such as SSS ID, Driver's License, Passport and CPA PRC License. Please make sure that the name indicated in your IDs and application is the same. You will receive unofficial result right after your exam. Failure to come on your scheduled examination will mean forfeiture of examination fee.

Step 4: Exam official result will be release the following month of your examination date.

Step 5: Once you have passed the exam and met all other program requirements, IIAP will send an advise on how to claim your certificate.

To follow-up status of your application, please call 940.9554 and look for the IIAP certification staff.